MEETING MULTIPLE DEMANDS UNDER CLIMATE CHANGE

US and Canadian agricultural lands are being pressed to provide more environmental and economic services, while at the same time their capacity to provide these services under potential climate change (CC) is being questioned (Field et al. 2007; CAST 2011). Producers are already experiencing weather patterns outside of climate norms (e.g., the 2011 droughts in Texas, and flooding along the Missouri River in the United States and along the Red River in Canada) that have had significant impacts on production. Predictions of future climate conditions for the US Midwest include longer growing seasons that could potentially increase crop yields but also increase heat waves, floods, droughts, and insect and weed issues that may then adversely impact production. Predictions of future climate conditions for the US Midwest include longer growing seasons that could potentially increase crop yields but also increase heat waves, floods, droughts, and insect and weed issues that may then adversely impact production.

Climate change drives many stressors and interacts with many nonclimatic stressors. This makes it difficult to forecast outcomes in any general way other than many existing threats to agricultural production, such as erosion and pests, which will most likely be exacerbated under shifting climate (Field et al. 2007; USGCRP 2009). Creating profitable and healthy operations under this unpredictable interplay of factors driven by shifting climate (and, along with it, shifting markets) will be a daunting task. It will be essential that farmers, ranchers, and even communities have a variety of land management options to minimize the risks and maximize services under such uncertain conditions.

A recent Council for Agricultural Science and Technology report (CAST 2011) discusses the need to adapt “management and land use to cope with the changes in climate and adopt mitigation strategies to decrease agriculture’s net contributions to greenhouse gas (GHG) production.” Delgado et al. (2011) have suggested that “these challenges can be met by maximizing soil and water conservation to develop sustainable systems essential to mitigate climate change and adapt to it.”

The special section in this issue of the Journal of Soil and Water Conservation is a product culminating from several events organized by Jorge Delgado from the USDA Agricultural Research Service and his colleagues to catalyze discussion on this topic and to bring the science at-hand together so that we can begin assembling a CC-integrated conservation toolbox for North American agricultural lands (Delgado et al. 2011; SWCS 2011; SWCS 2012). Agroforestry, the intentional integration of trees and/or shrubs into crop and animal production systems, is one of these potential tools.

There are five main categories of agroforestry practices in North America, with a growing sixth category—Special Applications—for agroforestry technologies being adapted to address emerging needs across rural/urban landscapes, such as stormwater treatment and biofeedstock production (figure 1 and table 1). As a suite of practices to assist in creating productive and healthy farm and ranch operations, agroforestry has the potential to contribute to both CC mitigation and adaptation (M&A) by sequestering carbon (C), reducing GHG emissions, enhancing resiliency, and reducing threats while facilitating migration to more favorable conditions in the highly fragmented agricultural landscapes (table 2).

Although agroforestry’s CC M&A potential is better recognized in the tropics (Verchot et al. 2007), awareness of its potential for temperate and boreal systems is growing (Morgan et al. 2010; CAST 2011). Its use as a CC M&A strategy on agricultural lands in North America is not a new idea. Indeed, the Prairie States Forestry Program in the United States and Prairie Farm Rehabilitation Administration in Canada may well serve as models for an effective CC M&A project (Sauer 2010). To deal with one of the largest North American wind-erosion events—the 1930s Dust Bowl—both programs planted hundreds of millions of trees into windbreaks across the length of the North American Great Plains. Two things are worth noting here. This large-scale ecological disaster was the outcome of an extreme and prolonged weather event in combination with a large-scale land-use intensification/conversion tied to fluctuating crop prices. In addition, use of the M&A activity was retroactive, which generally results in longer recovery times and greater costs. To provide the services needed to combat weather extremes and other CC-driven impacts when they occur, agroforestry, like many other management options in the CC toolbox, needs to be implemented proactively. For trees, this means planting several years prior to an event. Therefore, these practices must also be able to offset lost opportunity costs in the meantime by providing non-CC M&A services that producers value. This is part of agroforestry’s appeal as a CC M&A tool. The benefits (more favorable micro-climates, enhanced biodiversity, reduced wind velocity, improved soil fertility, diversification of production, increased resource-use efficiency, reduced nutrient runoff, and decreased levels of erosion) from its incorporation are the very functions that can be capitalized for CC M&A.
GREENHOUSE GAS MITIGATION

Carbon. Incorporation of agroforestry into conventional agricultural operations, regardless of purpose (figures 1 and 2), will result in new C being sequestered. This C can be substantial despite the small area of land required by agroforestry (e.g., approximately 3% of a field for crop windbreaks) due to the high rates of C sequestered per unit area (Schoeneberger 2009). Potential sequestration rates for above and belowground biomass components in riparian forest buffers, alley-cropping systems, silvopastures, and windbreaks in North America have been estimated at 2.6, 3.4, 6.1, and 6.4 Mg C ha⁻¹ y⁻¹ (1.2, 1.5, 2.7, and 2.8 tn C ac⁻¹ yr⁻¹), respectively (Udawatta and Jose 2011). Agroforestry provides for greater C sequestration than through conventional options alone while leaving the bulk of the land in agricultural production. For example, a 13-year-old alley-cropping system in Ontario, Canada, was found to have 11% to 41% more C, depending on tree species, compared to sole-cropping plots (Peichl et al. 2006). These numbers, though, only represent a partial accounting as agroforestry impacts on overall C dynamics are many and complex. However, three main C components should be accounted for where possible: woody biomass, soil, and indirect benefits.

The woody biomass component represents the major portion of easily observed and measured new C to the system (Schoeneberger 2009). The bulk of this C is generally contained within the aboveground woody portion (trunk and branches). For example, aboveground woody biomass C in hybrid poplar (Populus deltoids X Populus nigra) and Norway spruce (Picea abies L.) comprised approximately 82% and 79%, respectively, of the total woody biomass C in the 13-year-old alley cropping system mentioned earlier (Peichl et al. 2006). A similar trend of allocation was found for hybrid poplar in a 7-year-old multispecies riparian buffer in Iowa (Tufekcioglu et al. 2003). Unfortunately, accounting for even this C in agroforestry plantings, especially at regional and national scales,
is not easy due to lack of inclusion in any national resource inventory (Perry et al. 2005) and agroforestry-specific tools. Compared to forests, agroforestry plantings have a more open environment, resulting in trees with greater branch production and greater specific gravity (Zhou et al. 2011). These differences indicate that use of existing forest-derived equations may not accurately estimate woody biomass C. Ongoing work in the United States and Canada is providing the basis for determining if existing forest-derived equations for North American trees can be adjusted for better estimation of this C in agroforestry-generated woody biomass, as well as developing equations for multiple-stemmed tree species (Zhou et al. 2007) and shrub species that contribute to this C stock in agroforestry plantings.

Soil C stocks have been demonstrated to generally be larger in agroforestry systems compared to conventional cropping systems (Kumar and Nair 2011). However, the high variability, measurement expense, and several other factors related to soil C sampling in agroforestry systems make it difficult to estimate and/or model the accrual of new C with any level of accuracy (Nair 2011). This high variability is...
due to agroforestry’s integrated nature that creates greater belowground complexity, as well as the soil C being derived from both internal and external sources (Sauer et al. 2007). Net accrual of C in soils using C-sequestering activities generally does not continue for many years. Soil organic C (SOC) levels are assumed to stabilize at a new steady state after 20 years (IPCC 2006). Soils under agroforests may accumulate C beyond this period. Sauer et al. (2007) reported significantly greater SOC in the top 15 cm (6 in) of soil beneath a 35-year-old windbreak in Nebraska, as compared to adjacent cropped fields. Stable C isotope analysis at this site indicated that tree-derived SOC contributed 54% of the SOC beneath the trees (1.73 ± 0.16 kg C m⁻² (0.35 ± 0.03 lb C ft⁻²), with a mean residence time of 45 years (Hernandez-Ramirez et al. 2011). The observed increase in SOC represents an annual accrual of 10.6 g m⁻² yr⁻¹ (0.002 lb ft⁻² yr⁻¹). Minimized site disturbance and the increased diversity of plant species in windbreaks are credited with reducing C losses and increasing stability of SOC stocks. Along with the GHG mitigation value, increasing SOC is associated with enhanced C and nutrient cycling, vigorous soil fauna, optimal soil structure, and improved soil water regimes (Doran et al. 1994).

Brandle et al. (1992) demonstrated that a major C advantage of using windbreaks is the indirect benefits that can potentially be quite substantial. Based on a 2 million ha (~5 million ac) windbreak planting program, they estimated that over 22 Tg (24 million tn) of C would be sequestered, and diesel fuel consumption would be reduced by approximately 1,240 million L (328 million gal). Additional fuel saving could be realized from farmstead windbreaks. Given the potential size of indirect C contributions from agroforestry plantings, more work is definitely warranted to help guide accounting efforts. Both our growing understanding of C cycling within agroforestry systems, as well as our knowledge gaps, will need to be addressed to enable accurate and full C accounting of agroforestry’s contribution (Kumar and Nair 2011).

**Nitrous Oxide and Methane.** Impacts on nitrous oxide (N₂O) and methane (CH₄) emissions in agroforestry systems also need to be considered. Studies on these GHGs in agroforestry systems are limited. Agroforestry includes many agricultural activities that affect emissions of these GHGs (e.g., fertilization, liming, tillage, and livestock management) and are purposely designed for interactions, including shifts in these management activities, that theoretically could be beneficially managed.

Integrating agroforestry into agricultural operations reduces N₂O emissions by eliminating nitrogen (N) application on the part occupied by trees. Additionally, emissions may be further reduced through tree uptake of excess N (Allen et al. 2004; Bergeron et al. 2011). Referred to as the safety-net feature of agroforestry, tree roots have the potential to take up excess N in time and in space that would otherwise be available for N₂O emissions on- or off-site. Measurements made in several riparian forest buffers (7- to 17-year-old) in Iowa indicated that the lower N₂O emissions observed in the buffers compared to adjacent crop fields were likely due to both mechanisms—the elimination of N application in the buffer, as well as greater N uptake by the trees (Dong-Gill 2008). These functions working in an alley-cropping system compared to sole-cropping plots could lead to reductions in N₂O emissions of about 0.7 kg ha⁻¹ yr⁻¹ (0.6 lb ac⁻¹ yr⁻¹) (Thevathesan and Gordon 2004).

Even less is known about CH₄ emissions in temperate agroforestry. Dong-Gill et al. (2010) did not detect any differences in CH₄ emissions between riparian forest buffers and adjacent croplands in Iowa. This may reflect the high level of hydrologic decoupling in the widely tiled landscapes of the Upper Midwest region, as well as suppression of CH₄ oxidation found in agricultural systems (Suwanwaree and Robertson 2005).

Results from (Allen et al. 2009) and others (Eckard et al. 2010) indicate that silvopasture may offer several options for reducing CH₄ and N₂O emissions. The integration of plant components in silvopasture should result in diminished N₂O emissions due to increased nutrient efficiency and reduced N fertilizer inputs. Forage quantity and quality can be expected to be affected by the modified microclimate in these systems, which in turn will affect CH₄ emissions from livestock. Lin et al. (2001) found that crude protein, acid detergent fiber, and total crude protein levels were altered depending on forage species and levels of shading, with some species having increased quality under shade. Agroforestry practices offer many opportunities to increase C sequestration, potentially reduce other GHGs, and help maintain production within whole-farm operations.

**CLIMATE CHANGE ADAPTATION**

**Adaptation by Agroforestry.** Despite its small size, agroforestry can add a high level of diversity within agricultural lands and, with it, an increased capacity for supporting numerous ecological and production services that impart resiliency to CC impacts (table 2 and figure 2) (Verchot et al. 2007). From a landowner’s perspective, the most valued services would be those that can dampen the negative effects of CC and weather extremes while augmenting the positive benefits provided by tree-based systems. CC risk management is difficult in annual-only systems due to the increasing uncertainty and volatility of interannual variability in rainfall and temperatures. The mixing of woody plants into crop, forage, and livestock operations provides greater resiliency to this interannual variability through crop diversification produced seasonally, as well as through increased resource-use efficiency (Olson et al. 2000). Deep-rooted trees allow better access to nutrients and water during droughts and, when appropriately integrated into annual cropping or forage systems, may extract from a different pool of resources and/or from resources that would otherwise be lost from the system (van Noordwijk et al. 1996). Agroforestry increases soil porosity, reduces runoff, and increases soil cover, which can improve water infiltration and retention in the soil profile thereby reducing moisture stress in low rainfall years (Jose et al. 2009). During periods of excessive soil moisture, tree-based systems can maintain aerated soil conditions by pumping out excess water...
more rapidly than other production systems, and when flooding eliminates an herbaceous crop for a season, the woody component can often survive and offer an economic return (Dimitriou et al. 2009).

Agroforestry practices are used to alter microclimates to produce more favorable conditions for crop, forage, and livestock production, and empirical results suggest these agroforestry-induced conditions could be critical in providing extra resiliency to shifting temperature and moisture regimes. Field studies have shown that air and soil temperatures too cold or too warm for forage growth can be favorably modified by trees in silvopasture systems to create an extended production period (Feldhake 2002; Moreno et al. 2007). Using a process-based model, Easterling et al. (1997) showed that windbreaks would increase dryland maize yields in Nebraska above corresponding unsheltered yields for most levels of predicted climate change.

Along with the impacts of weather extremes on production, increasing carbon dioxide (CO₂) may reduce the ability of grazing lands to supply adequate livestock feed (USGCRP 2009). Morgan et al. (2004) found that higher levels of CO₂ increased forage productivity but reduced forage quality because of the effects on plant N and protein content. The shading component in silvopasture systems, however, has been shown to improve forage quality by increasing protein content while reducing fiber (Kallenbach et al. 2006), which when combined with creating more favorable microclimate conditions could potentially result in higher forage quantity and quality than open-grazing lands during heat stress events.

With warmer temperatures, insect pests and plant diseases are expected to increase due to range expansion, higher winter survival, and increased number of generations per season (USGCRP 2009). Enhancing opportunities for biological pest control will become increasingly important and could be accomplished through agroforestry (Dix et al. 1995). For example, alfalfa intercropped with walnut supported twice as many predators and parasitic hymenoptera and half as many herbivores as did alfalfa alone (Stamps et al. 2002). Stamps and Linit (1997) reported that the greater niche diversity of agroforestry may support greater numbers and/or diversity of natural enemy populations than even polycultural systems of annual crops.

Increased heat, disease, and weather extremes are likely to reduce livestock productivity, and studies show that the negative effects of hotter summers will outweigh the positive effects of warmer winters (USGCRP 2009). By providing shade, silvopasture can reduce the energy expended for thermoregulation, leading to higher feed conversion and weight gain. Mitlöchner et al. (2001) found that cattle provided with shade reached their target body weight 20 days earlier than those without shade.

Climate change is expected to result in more erratic precipitation patterns that will ultimately lead to higher soil erosion rates. Where rainfall amounts increase, erosion and runoff will increase at an even greater rate: the ratio of erosion increase to annual rainfall increase is on the order of 1.7 (Nearing et al. 2004). Where annual rainfall decreases, system feedbacks related to decreased biomass production and soil drying could lead to greater susceptibility of the soil to eroede. Modelling results indicated a 2°C increase in annual temperature could increase wind erosion by 15% to 18% (Lee et al. 1996). Windbreaks, alley-cropping systems, and riparian buffers are typically designed for reducing wind and water erosion (Garrett 2009).

Agroforestry systems can offer greater economic stability and reduced risk under CC by creating more diversified enterprises with greater income distribution over time. Like alley cropping, a silvopasture system mitigates risks associated with climate variability and fluctuating prices by providing short-term (forage and/or livestock) and long-term (timber) income sources (Cubbage et al. 2012).

Conserving biodiversity under shifting climates is a global priority (Korn et al. 2003). Agroforestry can play three major roles in supporting this priority: (1) providing habitat that offers a range of microclimate and resource refugia; (2) increasing landscape connectivity for species to migrate as climate changes; and (3) providing other ecosystem services, such as erosion control and water quality protection, that prevent the degradation and loss of surrounding habitat. Realizing these beneficial adaption services will require additional work to develop improved combinations and arrangements of species that better maximize facilitative interactions, while minimizing the competitive interactions between crop and trees (Jose et al. 2009).

**Adaptation of Agroforestry.** Despite the positive CC adaptation services that agroforestry can provide, these systems will likely be negatively impacted by the same forces. Plant stress, as well as shifts in woody plant disease, pest and natural enemy dynamics created by weather extremes, and the longer-term predicted shifts in climate, will play a dominant role in the persistence and performance of all agroforestry plants, herbaceous or woody (Fuhrer 2003; Allen et al. 2010). This is of particular concern with agroforestry as these practices require a long time to become established and fully functional. Losing one’s investment due to a stress and/or pest outbreak would be devastating. With limited research on CC-adapted plant materials and, more importantly, due to the longevity of the woody component, one is left with using diversity as a key principle in developing CC-adapted agroforestry plantings: in essence, selecting a variety of plants that will thrive under the many conditions created by shifting weather and climate change. Breeding programs can also expand selection options, such as the marker-assisted poplar breeding program in Canada that is utilizing the AgCanBaP collection (Soolanayakanahally 2010) to generate woody biomass feedstocks with high resource use efficiencies for present and future climates. Climate modeling results are indicating major shifts in tree species distribution (Iversion and Prasad 1998). Projecting out which agroforestry species that may be more suited to future conditions will need to become part of the CC-integrated planning and design process.

**Rethinking Agroforestry**

Although agroforestry practices have been traditionally implemented to achieve a few major functions, by rethinking these practices, we can capitalize on the poten-
tial synergies among CC, production, and ecosystems services. Riparian forest buffers offer a perfect example for retooling an existing practice into an innovative system with additional positive feedback loops (figure 3). Widening the zones and selecting appropriate species can create opportunities for harvesting biomass or bioproducts. The additional width offers extra water quality protection under increasing intense rainfall events, with the harvest of marketable materials helping to offset lost opportunity costs of land taken out of regular production. Harvesting also provides the added services of removing nutrients from the site and keeping the woody portion in an earlier successional stage; both activities promote greater regrowth and nutrient uptake rates that in turn could further enhance water quality services, as well as potentially reduce $N_2O$ emissions. If pyrolysis is used to convert biomass to energy, the resulting C-rich biochar could be returned to adjacent fields as an amendment for improving soil quality and yields (Stavi and Lal 2012) and potentially reducing $N_2O$ emissions (Taghizadeh-Toosi et al. 2011). Through species selection, increased width, and other design considerations, the riparian forest buffer can enhance adaptation to CC by providing habitat for beneficial insects and birds, stream temperature modification, and streambank stabilization. When a landscape system of riparian buffers is created, landscape-level benefits, like flood attenuation and corridors for wildlife movement, can also then be realized.

By ignoring the imaginary limitations of existing practices, we can invent new tree-based systems that can better respond to dynamic changes in the environment and market place. For example, waterbreaks offer a novel agroforestry system for addressing the increase in flooding intensity and frequency and the resulting degradation of agricultural lands by providing a series of strategically placed buffers in the floodplain that can reduce the impacts from flood events (figure 4). The placement and use of waterbreaks are intended to moderate water flows similar to the way windbreaks moderate wind flows (Wallace et al. 2000). During nonflooded conditions, waterbreaks can provide critical wildlife connections between upland and riparian areas and improve water quality by trapping sediment and filtering chemicals from runoff, while providing opportunities for alternative income through hunting fees and harvesting products such as timber, nuts, and other nontimber forest products. Rethinking agroforestry will help link the multiple objectives of food, feed, fiber, energy, and ecosystem services on these lands under CC, but much work remains to get all the right parts together (Schoeneberger et al. 2008; Holzmuller and Jose 2012).

**BRANCING OUT**

Agroforestry is one of several CC M&A tools that will be needed for agricultural lands under the uncertainty of CC. In North America, agroforestry is gaining momentum, as illustrated by (1) release of the USDA Agroforestry Strategic Framework (2011-2016) (http://www.usda.gov/documents/AFStratFrame_FINAL-lr_6-3-11.pdf) and establishment of the Agroforestry Executive Steering Committee with seven USDA member agencies, (2) release of the Memorandum of Understanding between Canada and the United States to advance collaboration between the USDA National Agroforestry...
Center and the Agriculture and Agri-Food Canada Agroforestry Development Centre, and (3) involvement of both countries and centers in the Global Research Alliance on Agricultural Greenhouse Gases, an international group supporting development and transfer of new CC M&A practices available to farmers worldwide (http://www.globalresearchalliance.org/about-us/).

While we have identified several promising avenues for CC M&A through agroforestry, it should be recognized that our understanding is limited. The sparse research conducted to-date has predominantly focused on single management activity impacts within a practice, such as C sequestered in woody biomass. Dynamics in a system as spatially and temporally complex as agroforestry require additional research and technology investment so that we can verify and reliably predict responses under the variety of field conditions that agroforestry can be placed in and the variety of management activities and species that can be used. However, it is this very complexity in agroforestry that provides its potential for meeting GHG objectives and providing the resiliency needed for adapting production and the other ecosystem service goals demanded from these lands.

Regarding temperate agroforestry, Jose and Gordon (2008) have stated that we need to “1) Recognize the limited understanding; 2) Develop better information; and 3) Develop decision-support tools and models.” In short, while much work remains regarding researching agroforestry’s CC M&A potential for North American agriculture, we also need to be using the science at-hand to assist today’s decision-making. Tools, such as the COMET-VR 2 from the USDA and HOLOS from the Agriculture and Agri-Food Canada, that estimate whole-farm GHG emissions, are beginning to include agroforestry within the accounting framework (table 1). The development of standardized GHG measurements (e.g., USDA Agricultural Research Service GRACEnet sampling protocols) for use in agroforestry studies that will help in developing the accuracy of the models used in these tools has also been initiated. Many different CC-integrated tools will be needed to ensure food security and other essential human enterprises in North America and elsewhere. Agroforestry is one of these promising tools for helping to build that climate-smart agriculture.

**REFERENCES**


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